



19th April, 2024

BSE Limited
Department of Corporate Services
Rotunda Building
Mumbai Samachar Marg
Mumbai 400 001
Scrip Code: 500575

National Stock Exchange of India Limited
Exchange Plaza, Listing Department
Bandra-Kurla Complex
Bandra (East), Mumbai 400 051
NSE Symbol: VOLTAS

Dear Sir,

Sub: Compliance of Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith copy of Certificate dated 17th April, 2024 received from M/s. N. L. Bhatia & Associates, Practising Company Secretaries, confirming timely dispatch of Share Certificates/ Letter of Confirmations received by the Company for transmission / split / renewal, during the period between 1st April, 2023 and 31st March, 2024.

Thanking You,

Yours faithfully,
VOLTAS LIMITED

V. P. Malhotra
Head - Taxation,
Legal & Company Secretary

Encl.

VOLTAS LIMITED

Corporate Management Office

Registered Office Voltas House 'A' Dr Babasaheb Ambedkar Road Chinchpokli Mumbai 400 033 India
Tel 91 22 66656251 66656258 Fax 91 22 66656311 e-mail vpmalhotra@voltas.com website www.voltas.com

Corporate Identity Number L29308MH1954PLC009371

A **TATA** Enterprise



CERTIFICATE

This certificate is issued pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (Listing Regulations) and uniform Listing Agreements of BSE Limited and National Stock Exchange of India Limited.

The Securities and Exchange Board of India (SEBI) vide gazette notification dated June 8, 2018 and its press release on December 3, 2018 amended Regulation 40 of the Listing Regulations and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. April 1, 2019. Further SEBI vide its circular dated September 7, 2020 clarified that the date March 31, 2021 has been fixed as the cut-off date for re-lodgment of transfer requests and has stipulated that such transferred shares shall be issued only in dematerialized form. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated December 2, 2020.

Further SEBI vide gazette notification dated January 24, 2022 read with circular no. SEBI/HO/MIRSD/MIRSD_RTAMM/P/CIR/2022/8 dated January 25, 2022 has mandated Issuance of Securities in dematerialized form only while processing the service request related to Issue of duplicate securities certificate, transmission, renewal/exchange of securities certificate, endorsement, transposition of securities and sub-division (split) of securities.

Accordingly, on the basis of examination of the relevant documents relating to fully paid equity shares of ***Voltas Limited*** ("the Company") maintained by its Registrar and Share Transfer Agent, Link Intime India Private Limited having its office at C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli West, Mumbai 400 083, pertaining to the period from April 1, 2023 to March 31, 2024 for the purpose of issuing a Certificate as per Regulation 40(9) of Listing Regulations, we hereby certify that:

- A) As informed to us, there were no requests relating to transfers received during the period from April 1, 2023 to March 31, 2024. Other cases such as Transmission, Transposition, Deletion of Name, etc., have been registered within 30 days from respective date of lodgment of each document, excepting, those rejected on technical grounds.



- B) The Company has issued 'Letter of Confirmation' in lieu of physical securities certificate in respect of requests relating to issue of duplicate securities certificate, transmission of securities/deletion of name, renewal of securities certificate, transposition of securities, Consolidation and sub-division (split) of securities received during the period from April 01, 2023 to March 31, 2024 within the prescribed time from the respective date of lodgment.
- C) No such requests are pending beyond due date where formalities have been completed.

Date: April 17, 2024

Place: Mumbai

For M/s. N L Bhatia & Associates

Practising Company Secretaries

UIN: P1996MH055800

PR No.: 700/2020



Bhaskar Upadhyay

Partner

FCS No. 8663

COP No. 9625

UDIN: F008663F000154941